

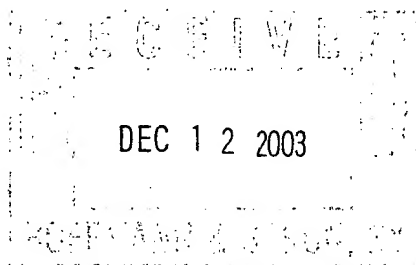


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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,486	05/24/2001	Javier Menendez Diaz	976-9

23869
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CONFIRMATION NO. 2985

FORMALITIES LETTER



OC000000011458037

Date Mailed: 12/10/2003

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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A handwritten signature in black ink, appearing to read "C. Lundy", is positioned above a horizontal line.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: 976-9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Diaz et al.	Examiner:	Unassigned
Serial No.:	09/864,486	Group Art Unit:	1651
Filed:	May 24, 2001	Docket:	976-9
For:	DNA Fragments of the Methylotrophic <i>Pichia pastoris</i> Yeast <i>ICL</i> Gene	Dated:	February 6, 2004

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I hereby certify this correspondence and the documents identified herein are being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: *U.S. Patent and Trademark Office, Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-14501* on **February 6, 2004**
Signature: *[Handwritten Signature]*

**RESPONSE TO NOTICE TO COMPLY AND AMENDMENT CONCERNING
SUBSTITUTE SEQUENCE LISTING**

Sir:

In response to a Notice to Comply mailed December 10, 2003, Applicants submit the following amendment and substitute sequence listing (in computer readable form and paper copy).

Amendments to the Specification begin on page 2 of this paper.

Remarks begin on page 3 of this paper.